

CLAIMS

1. A system, comprising:
 - a network;
 - an electronic document source for storage of at least one investor document, the electronic document source operatively connected to the network;
 - a history source operatively connected to the network, the history source comprising history data representative of at least one past transaction for at least one investor;
 - a processor operatively connected to the network, the processor programmed to
 - receive current investment data for an investor,
 - identify from the history source past transaction(s), if any, for the investor,
 - identify document(s) applicable to the current investment data received,
 - determine required investment information for the investor from the identified document(s) applicable to the transaction and based on the past transaction(s) identified,
 - and
 - retrieve the required investment information from the at least one investor document stored on the electronic document source.
2. The system of claim 1, wherein the processor is further programmed to consolidate the retrieved investment information into a single package per investor.
3. The system of claim 2, wherein the processor is further programmed to compose a secondary document, and wherein the consolidation performed by the processor includes consolidation of the secondary document together with the retrieved investment information.
4. The system of claim 3, wherein the secondary document comprises at least one of the group consisting of a cover page, table of contents, back cover, artwork, advertisement, trade confirmation, or disclaimers.
5. The system of claim 2, wherein the consolidation performed by the processor produces the single package in a desired electronic format.
6. The system of claim 2, wherein the consolidation performed by the processor produces the single package in a desired format for printing a hard copy thereof.
7. The system of claim 6, wherein the processor is further programmed to receive a request for production of the single package, and wherein the production of the single package is performed in response to the request for production.

8. The system of claim 7, wherein the request for production comprises receipt by the processor of the current investment data.

9. The system of claim 7, wherein the production of the single package occurs within a prescribed time period.

10. The system of claim 9, wherein the prescribed time period is established by a legal rule applicable to the current investment data.

11. The system of claim 1, wherein at the at least one investor documents comprises a plurality of parts and each of the plurality of parts is defined with a code, and wherein the processor uses such codes in determination of the required investment information.

12. The system of claim 1, wherein at least one of the at least one investor documents comprises a compliance document.

13. The system of claim 12, wherein the compliance document comprises a simplified prospectus.

14. The system of claim 13, wherein the simplified prospectus comprises a mutual fund simplified prospectus including a Part A and a plurality of Part Bs.

15. The system of claim 14, wherein the identified document(s) comprise one of the plurality of Part Bs.

16. The system of claim 14, wherein the required investment information further comprises one of the Part A or a Part A Note.

17. The system of claim 1, wherein at least one of the at least one investor documents comprises a financial report.

18. The system of claim 17, wherein the financial report consists of one of the group of annual report, semi-annual report, quarterly report, or monthly report.

19. The system of claim 1, wherein at least one of the at least one investor documents comprises a new account disclosure document.

20. The system of claim 1, wherein at least one of the at least one investor documents comprises a secondary document.

21. The system of claim 1, further comprising:
a secondary document source operatively connected to the network, the secondary document source comprising at least one secondary document, and

wherein the processor is further programmed to determine required secondary documents applicable to the transaction and retrieve the required secondary documents from the secondary document source.

22. The system of claim 1, wherein the history data stored on the history source comprises past securities transactions data.

23. The system of claim 1, wherein the history data stored on the history source comprises past investor account holding data.

24. The system of claim 1, wherein the history data stored on the history source comprises past documents sent identification data.

25. The system of claim 1, wherein the current investment data comprises an identifier for the investor and wherein the history data comprises an identifier for the at least one investor, such that the processor uses the identifier for the investor from the current investment data and the identifier for the at least one investor of the history data to identify past transaction(s), if any.

26. The system of claim 1, wherein each of the at least one investor documents stored on the electronic document source comprises one or more codes, and wherein the processor compares the current investment data received to the code(s) of the investor documents in identifying document(s) applicable to the current investment data.

27. The system of claim 26, wherein the processor is further programmed to create a mapping of the current investment data to the code(s) of the investor documents.

28. The system of claim 1, wherein the required investment information comprises a portion of one of the at least one investor documents.

29. The system of claim 1, wherein the determination of the required information performed by the processor is based on at least one legal rule.

30. The system of claim 1, wherein the determination of the required information performed by the processor is based on at least one business rule.

31. The system of claim 1, wherein at least one of the identified document(s) comprises a plurality of parts, and wherein the required investor information determined by the processor comprises at least one of the plurality of parts.

32. The system of claim 31, wherein only the at least one of the plurality of parts of the identified document(s) is(are) retrieved by the processor when retrieving the required investment information.

33. The system of claim 1, wherein the processor is further programmed to convert the retrieved required investment information into a format suitable for printing.

34. A method, comprising the steps of:

providing a network, an electronic document source for storage of at least one investor document, a history source comprising history data representative of at least one past transaction for at least one investor, and a processor, the network, electronic document source, and history source all operatively connected to the network;

receiving at the processor current investment data for an investor;

identifying from the history source past transaction(s), if any, for the investor, the identification of past transaction(s) performed by the processor;

identifying, with the processor, document(s) applicable to the current investment data received;

determining with the processor required investment information for the investor from the identified document(s) applicable to the transaction and based on the past transaction(s) identified; and

with the processor, retrieving the required investment information from the at least one investor document stored on the electronic document source.

35. The method of claim 34, further comprising the step of:

consolidating the retrieved required investment information into a single package.